

NOV 0 3 2003

TECH CENTER 1600/2900

PATENT APPLICATION

File No: 97-11C2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

: Paul O. Sheppard, Laura J. Jelinek

Serial No.

10/082,502

Group Art Unit

: 1642

Examiner

: Nickol, G.B.

Filed

: October 19, 2001

For

: MAMMALIAN SECRETORY PEPTIDE - 9

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached correspondence comprising:

- 1. Information Disclosure Statement (in duplicate)
- 2. PTO-1449 Form
- 4. Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on October 23, 2003.

Kim M. Goplen



NOV 0 3 2003

PATENT APPLICATION

File No: 97-11C2

TECH CENTER 1600/2900 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Paul O. Sheppard, Laura J. Jelinek

Serial No.

10/082,502

Group Art Unit

1642

Examiner

Nickol, G.B.

Filed

October 19, 2001

For

MAMMALIAN SECRETORY PEPTIDE - 9

Date Submitted

: October 23, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. §1.56, and in accordance with 37 C.F.R. §§1.97 et seq., the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested.

The present application is a continuation of U.S. Patent Application No. 09/318,028, filed May 25, 1999; which is a continuation-in-part of U.S. Patent Application No. 09/109,808, filed July 2, 1998, now abandoned.

Pursuant to the provisions of M.P.E.P. §609 and in accordance with 37 C.F.R. §1.98(d), Applicants have not included copies of the documents listed on the 1449 forms that were previously cited by or submitted to the Patent Office in a prior application identified herein.

Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

The Commissioner is hereby authorized to charge the fee under 37 C.F.R. §1.17(p) of \$180.00 to ZymoGenetics, Inc. Deposit Account No. 26-0290. A duplicate of this paper is enclosed.

10/29/2003 LWDNDIM1 00000041 260290 10082502

01 FC:1806 2340.00 DA Respectfully submitted,

Shelby J. Walker Registration No. 45,192

NOV 0 3 2003

Sheet 1 of 6

FORM PTO (REV 2-32)	1449	U.S.E PATENT	EPARTMEI	NT OF COMME DEMARK OF E	RCE LECENTER 1	File No. 600/2900	97-11C2	Serial No. 10/082,502	
OIPE	IFORMATION TATEMENT B	Y APPLICAI		Applicant(s): Paul O. Sheppard, Laura J. Jelinek					
OCT 2 7 2003) (ل نور	Jse several she	eets if neces				Group: 1642		
TRADEMARY		<u> </u>	U	.S. PATENT	DOCUMEN	October 19,	2001		
EXAMINER		DOCUMENT	DATE NAME			CLASS SUBCLASS			
INITIAL		NUMBER						IF APPROPRIATE	
			FOR	EIGN PATEI	NT DOCUM	IENTS	<u> </u>		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	FILING DATE	
IMITIAL	A1	93/03157	02/18/93	PCT				II AFFROMIATE	
•	OTHE	ER DOCUM	ENTS (Inc	luding Auth	or, Title, D	ate, Pertin	ent Pater	its, Etc.)	
	A2	Ratti	ner, et al., Proc	. Natl. Acad. Sci. 9	<u>4</u> :2859-2863, 199	97.			
	А3	Ехрі	Expressed Sequence Tag (EST) from Incyte Pharmaceuticals, Inc., 1845530, 9/11/98.						
	A4	EST	EST from Incyte Pharmaceuticals, Inc., 185536, 9/11/96.						
	A5	EST	from Incyte Ph	armaceuticals, Inc.	, 1860787, 9/11/	96.			
	A6	EST	EST from Incyte Pharmaceuticals, Inc., 1858565, 9/11/96.						
	A7	EST	from Incyte Ph	armaceuticals, Inc.	, 1835311, 9/11/9	96.			
	A8	EST	EST from Incyte Pharmaceuticals, Inc., 1843520, 9/11/96.						
	A9	9 Published EST 666712, 9/9/96.							
	A10	Publ	ished EST 657	702, 9/3/96.					
	A11	Publ	Published EST 657743, 9/3/96.						
	A12	Publ	Published EST 654821, 9/3/96.						
	A13		Published EST 651984, 8/26/96.						
	A14	Publ	Published EST 647379, 8/22/96.						
	A15	Publ	Published EST 637104, 8/9/96.						
	A16	Publ	ished EST 162	8992, 8/7/96.	**				
Examiner					Date conside	ered			
				ether or not cita ered. Include co				9; Draw line through on to applicant.	

Sheet 2 of 6

					NOV 0 3	3003		Sneet 2 of		
FORM PTO 1449		U.S.DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE CENTER				2003 File No	o. 97-11C2	Serial No. 10/082,502		
(REV 2-32)		PAIENI	AND I HAL	DEMARK OFFICE	用 CENTER	600/2900		·		
OF		FORMATION FATEMENT B	DISCLOSU	RE		Applicant(s): Paul O. Sheppard, Laura J. Jelinek				
	<u>, </u>	IAIENIENID	TAPPLICA	N I		Fat	л О. Зперра	ird, Laura J. Jeilnek		
OCT 2 7 2003) (L	lse several sh	eets if neces	ssary)		Filing Date: Group: 1642				
د امال	8 /					Filing Date: Gr October 19, 2001		Group. 1042		
TRADEMAN	7		U	.S. PATENT	DOCUMEN	ITS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
INITIAL		HOMBEIT						ACTIONIAL		
	L		FOR	EIGN PATEI	NT DOCUM	IENTS	.1.			
EXAMINER		DOCUMENT	DATE	COUNTRY		CLASS	SUBCLASS	FILING DATE		
INITIAL		NUMBER						IF APPROPRIATE		
(OTHE	R DOCUM	ENTS (Inc	cluding Auth	or, Title, D	ate, Pertin	ent Pater	nts, Etc.)		
	A17	EST	from 1555882	, 8/7/96.						
	A18	EST	EST from Incyte Pharmaceuticals, Inc., 1613409, 8/7/96.							
	A19	EST	EST from Incyte Pharmaceuticals, Inc., 1493185, 8/7/96.							
	A20	EST	from Incyte Ph	narmaceuticals, Inc.	, 1495647, 8/7/9	6.				
·	A21	EST	EST from Incyte Pharmaceuticals, Inc., 1555854, 8/7/96.							
	A22	EST	from Incyte Ph	narmaceuticals, Inc.	, 1751749, 8/7/9	3.				
	A23	EST	EST from Incyte Pharmaceuticals, Inc., 1646307, 8/7/96.							
	A24	EST	EST from Incyte Pharmaceuticals, Inc., 1492851, 8/7/96.							
	A25	EST	EST from Incyte Pharmaceuticals, Inc., 1463427, 8/7/96.							
	A26	Publ	Published EST 619318, 7/25/96.							
	A27	Publ	Published EST 613030, 8/7/96.							
	A28	EST	EST from Incyte Pharmaceuticals, Inc.,1503735, 7/15/96.							
	A29	EST	from Incyte Ph	narmaceuticals, Inc.	,1477748, 7/15/9	6.				
	A30	EST	from Incyte Ph	narmaceuticals, Inc.	, 1271650, 6/18/9	96.				
Examiner					Date conside	ered	***			
				ether or not citatered. Include co				9; Draw line through on to applicant.		

Sheet 3 of 6 NOV 0 3 2003 File No. 97-11C2 Serial No. **FORM PTO 1449 U.S.DEPARTMENT OF COMMERCE** PATENT AND TRADEMARK OFFICE LECTOR 1600/2900 10/082,502 (REV 2-32) Applicant(s): INFORMATION DISCLOSURE STATEMENT BY APPLICANT Paul O. Sheppard, Laura J. Jelinek (Use several sheets if necessary) Filing Date: Group: 1642 October 19, 2001 **U.S. PATENT DOCUMENTS** SUBCLASS **EXAMINER** DOCUMENT DATE NAME CLASS FILING DATE NUMBER IF APPROPRIATE INITIAL FOREIGN PATENT DOCUMENTS **EXAMINER** DOCUMENT DATE COUNTRY CLASS **SUBCLASS FILING DATE** INITIAL NUMBER IF APPROPRIATE OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, Etc.) A31 EST from Incyte Pharmaceuticals, Inc., 1310281, 6/18/96. A32 EST from Incyte Pharmaceuticals, Inc., 1379946, 6/18/96. Published EST 579223, 6/17/96. A33 EST from Incyte Pharmaceuticals, Inc., 1216757, 5/20/96. A34 A35 EST from Incyte Pharmaceuticals, Inc., 1284153, 5/20/96. EST from Incyte Pharmaceuticals, Inc., 939364, 5/20/96. A36 Published EST 516657, 4/26/96. A37 A38 Published EST 514884, 4/25/96. A39 EST from Incyte Pharmaceuticals, Inc., 716496, 4/23/96.t A40 EST from Incyte Pharmaceuticals, Inc., 932589, 4/23/96. A41 EST from Incyte Pharmaceuticals, Inc., 901376, 4/23/96. A42 Published EST 462697, 2/22/96. Published EST 448178, 2/9/96. A43 A44 EST from Incyte Pharmaceuticals, Inc., 762969, 2/2/96.

Examiner

Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 4 of 6

FORM PTO (REV 2-32)	1449			ENT OF COMME DEMARK OFFIC T	RCE .	8 2003 _{1e No} 2 1600/2900		Serial No. 10/082,502	
OIPE	IFORMATION TATEMENT B		JRE		Applicant(s): Paul O. Sheppard, Laura J. Jelinek				
nrt 2 7 2003	ە بىرا	Jse several she	eets if nece	essarv)					
)	OFF			,		Filing Date: Group: 1642 October 19, 2001			
TRADENT			ı	J.S. PATENT	DOCUMEN	ITS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
			FO	REIGN PATEN	NT DOCUM	IENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	FILING DATE	
	OTHE A45			cluding Authorities Authoritie		ate, Pertir	nent Pater	its, Etc.)	
	ļ. 								
	A46		EST from Incyte Pharmaceuticals, Inc., 724960, 2/2/96.						
	A47	EST from Incyte Pharmaceuticals, Inc., 694570, 1/4/96.							
	A48	EST	from Incyte F	Pharmaceuticals, Inc.	604074, 12/4/95	5.			
	A49	EST	from Incyte F	harmaceuticals, Inc.	605816, 12/4/95	5.			
·	A50	EST	from Incyte F	harmaceuticals, Inc.	605238, 12/4/95	5.			
	A51	EST	from Incyte F	harmaceuticals, Inc.	543893, 11/8/95	5.			
<u> </u>	A52	EST	from Incyte F	harmaceuticals, Inc.	551285, 11/8/95	5.			
	A53			Pharmaceuticals, Inc.,					
	A54			harmaceuticals, Inc.					
	A55			harmaceuticals, Inc.					
	A56			2894, 7/19/95.					
***	A57	Pubi	ISNEO EST 19	2508, 4/18/95.					
Examiner					Date considered				
				nether or not citat lered. Include co				9; Draw line through n to applicant.	

Sheet 5 of 6 File No. 97-11C2 Serial No. **FORM PTO 1449 U.S.DEPARTMENT OF COMMERCE** 10/082,502 PATENT AND TRADEMARK OFFICE CENTER 1600/2900 (REV 2-32) Applicant(s): INFORMATION DISCLOSURE STATEMENT BY APPLICANT Paul O. Sheppard, Laura J. Jelinek யூUse several sheets if necessary) Filing Date: Group: 1642 October 19, 2001 **U.S. PATENT DOCUMENTS** SUBCLASS **EXAMINER** DOCUMENT DATE NAME CLASS **FILING DATE** IF APPROPRIATE INITIAL NUMBER **FOREIGN PATENT DOCUMENTS** COUNTRY **EXAMINER** DOCUMENT DATE **SUBCLASS FILING DATE** INITIAL NUMBER IF APPROPRIATE OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, Etc.) A58 Published EST 99745, 2/1/95. A59 LIN 605816R from Incyte Pharmaceuticals, Inc. 3/25/96. A60 Hillier et al., The Wash U-Merck EST Project, 1995, Database Genbank, Accession No. R22491, "H sapiens clone IMAGE 130610", XP002083365 Freshney, (Culture of Animal Cells, A Manual of Basic Technique, Alan R. Liss, Inc., 1983, New York, p4) A61 A62 Dermer, Bio/Technology 12:320, 1994. Wilson et al., Genbank Sequence Database (Medline No. 94150718), National Center for Biotechnology A63 Information, National Library of Medicine, Bethesda, Maryland, publicly available November, 1994. Jaspers et al., Genbank Sequence Database (Accession W88469), National Center for Biotechnology A64 Information, National Library of Medicine, Bethesda, Maryland, publicly available January 14, 1999. Date considered Examiner EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 6 of 6

					NOV 0_3	2003		Sheet 6 of	
FORM PTO (REV 2-32)	1449			ENT OF COMME			o. 97-11C2	Serial No. 10/082,502	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicant(s): Paul O. Sheppard, Laura J. Jelinek			
UT 2 7 2003 (Use several sheets if necessary)							, 2001	Group: 1642	
TRABELAA	Ye			U.S. PATENT	DOCUMEN	ITS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
			FO	REIGN PATE	NT DOCUM	IENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE COUNTRY			CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
,	OTHE	ER DOCUM	ENTS (Ir	 ncluding Auth	nor. Title. D	ate. Pertin	ent Pater	nts. Etc.)	
	A65		-	ning epitopes: It's no					
	A66		ie et al., "Dec 1306-1310, 1		e in Protein Sequ	ences: Tolerand	ce to Amino Ac	id Substitutions," <u>Science</u>	
	A67	Albe	rts et al., <u>Mo</u>	lecular Biology of the	Cell, 3 rd edition, p	page 465, 1994.			
Examiner			91 - 12 F		Date conside	ered			
				hether or not cita dered. Include c				09; Draw line through on to applicant.	